

Modified Form PTO-1449

Atty. Docket No.

A-527E

Sertaf No.

10/645.784

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

Applicant

Feige et al.

Filing Date

August 18, 2003

Group

1639
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U.S. PATENT DOCUMENTS

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EXAMINER:

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1642**FOREIGN PATENT DOCUMENTS**

EXAMINED INITIALS		INVENTION NUMBER	DATE	INVENTOR	IN ACC	CIB. CLASS	TRANSLATION YES NO
<i>th</i>	B1✓	WO 02/16412	02/28/02	PCT <i>World</i>	—	—	
	B2✓	WO 02/16411	02/28/02	PCT			
	B3✓	WO 01/85782	11/15/01	PCT			
	B4✓	WO 01/02440	01/11/01	PCT			
	B5✓	WO 00/68378	11/16/00	PCT			
	B6✓	WO 00/67034	11/09/00	PCT			
	B7✓	WO 00/47740	08/17/00	PCT			
	B8✓	WO 00/40716	07/13/00	PCT			
	B9✓	WO 00/24782	05/04/00	PCT			
	B10✓	WO 99/35170	07/15/99	PCT			
	B11✓	WO 99/25044	05/20/99	PCT			
	B12✓	WO99/12964	03/18/99	PCT			
	B13✓	WO 99/11791	03/11/99	PCT			
	B14✓	WO 98/55620	12/10/98	PCT			
	B15✓	WO 98/55621	12/10/98	PCT			
	B16✓	WO 98/27114	06/25/98	PCT			
	B17✓	WO 98/18921	05/07/98	PCT			
	B18✓	WO 98/15833	04/16/98	PCT			
	B19✓	WO 96/40987	12/19/96	PCT	↓	↓	
	B20✓	EP 0 869 180	10/07/98	Hurle et al.			
✓	B21✓	EP 0 526 452	02/21/01	Capon et al.			

EXAMINER:

T. O. J.

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4/15/04

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	A-527E	10/645.784
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	Feige et al.	
	Filing Date	Group
	August 18, 2003	39 1642

EXAMINER'S INITIALS	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
<i>[initials]</i>	C1 ✓	Cwirla et al. (1997), "Peptide Agonist of the Thrombopoietin Receptor as Potent as the Natural Cytokine," <i>Science</i> 276: 1696-1699.
<i>[initials]</i>	C2 ✓	Devlin et al. (1990), "Random Peptide Libraries: A Source of Specific Protein Binding Molecules," <i>Science</i> 249: 404-406.
<i>[initials]</i>	C3 ✓	Gross et al. (2000), "TACI and BCMA are Receptors for a TNF Homologue Implicated in B-cell Autoimmune Disease," <i>Nature</i> 404: 995-999.
<i>[initials]</i>	C4 ✓	Gruss et al. (1995), "Tumor Necrosis Factor Ligand Superfamily: Involvement in the Pathology of Malignant Lymphomas," <i>Blood</i> 85(12): 3378-3404.
<i>[initials]</i>	C5 ✓	Hatzoglou et al. (2000), "TNF Receptor Family Member BCMA (B Cell Maturation) Associates with TNF Receptor-Associated Factor (TRAF) 1, TRAF2, and TRAF3 and Activates NF- κ B, Elk-1, c-Jun N-Terminal Kinase, and p38 Mitogen-Activated Protein Kinase," <i>J. of Immunology</i> 165: 1322-1330.
<i>[initials]</i>	C6 ✓	Khare et al. (2000), "Severe B Cell Hyperplasia and Autoimmune Disease in TALL-1 Transgenic Mice," <i>PNAS</i> 97(7):3370-3375.
<i>[initials]</i>	C7 ✓	Lowman (1997), "Bacteriophage Display and Discovery of Peptide Leads for Drug Development," <i>Ann. Rev. Biophys. Biomol. Struct.</i> 26: 401-424.
<i>[initials]</i>	C8 ✓	Roberts & Szostak (1997), "RNA-Peptide Fusions for the in vitro Selection of Peptides and Proteins," <i>Proc. Natl. Acad. Sci. USA</i> , 94: 12297-12302.
<i>[initials]</i>	C9 ✓	Shu et al. (1999), "TALL-1 is a Novel Member of the TNF Family that is Down-Regulated by Mitogens," <i>J. Leukocyte Biol.</i> 65:680-683.
<i>[initials]</i>	C10 ✓	Shu et al. (2000), "B Cell Maturation Protein is a Receptor for the Tumor Necrosis Factor Family Member TALL-1," <i>PNAS</i> 97(16):9156-9161.
<i>[initials]</i>	C11 ✓	Smith et al. (1994), "The TNF Receptor Superfamily of Cellular and Viral Proteins: Activation, Costimulation, and Death," <i>Cell</i> 76: 959-962.
<i>[initials]</i>	C12 ✓	Takasaki et al. (1997), "Structure-based Design and Characterization of Exocyclic Peptidomimetics that Inhibit TNF α Binding to its Receptor," <i>Nature Biotech.</i> 15: 1266-1270.
<i>[initials]</i>	C13 ✓	Thompson et al. (2000), "BAFF Binds to the Tumor Necrosis Factor Receptor-like Molecule B Cell Maturation Antigen and is Important for Maintaining the Peripheral B Cell Population," <i>J. Exp. Med.</i> 192(1):129-135.
<i>[initials]</i>	C14 ✓	Ware (2000), "APRIL and BAFF Connect Autoimmunity and Cancer," <i>J. Exp. Med.</i> 192(11): F35-F37.
<i>[initials]</i>	C15 ✓	Ware (2000), "Decoy Receptors Thwart B Cells," <i>Nature</i> 404: 949-950.

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TW	C16 ✓	Xia et al. (2000), "TACI is a TRAF-Interacting Receptor for TALL-1, a Tumor Necrosis Factor Family Member Involved in B Cell Regulation," <i>J. Exp. Med.</i> 192(1):137-143.
	C17 ✓	Yu et al. (2000), "APRIL and TALL-1 and Receptors BCMA and TACI: System for Regulating Humoral Immunity," <i>Nature Immunology</i> 1(3):252-256.
	C18 ✓	Tan et al. (2002), "Local Production of B Lymphocyte Stimulator (BlyS™) Protein in Human Arthritic Joints," <i>abstract</i> .
	C19 ✓	Cheema et al. (2002), "Increased B Lymphocyte Stimulator (BlyS™) Protein in HIV-Patients: Correlation with Anti-Cardiolipin (aCL) and Anti-Phospholipid (aPL) Autoantibodies," <i>abstract</i> .
	C20 ✓	Sekut et al. (2002), "Characterization of a Human Monoclonal Antibody that Antagonizes B-Lymphocyte Stimulator Bioactivities," <i>abstract</i> .
	C21 ✓	Zhang et al. (2001), "Cutting Edge: A Role for B Lymphocyte Stimulator in Systemic Lupus Erythematosus," <i>J. Immunology</i> 166:6-10.
	C22 ✓	Oren et al. (2002), "Structural Basis of BlyS Receptor Recognition," <i>Nature Structural Biology</i> 9(4): 288-292.
	C23 ✓	Cheema et al. (2001), "Elevated Serum B Lymphocyte Stimulator Levels in Patients With Systemic Immune-Based Rheumatic Diseases," <i>Arthritis & Rheumatism</i> 44(6):1313-1319.
	C24 ✓	Mukhopadhyay et al. (1999), "Identification and Characterization of a Novel Cytokine, THANK, a TNF Homologue That Activates Apoptosis, Nuclear Factor- κ B, and c-June NH ₂ Terminal Kinase," <i>J. Biol. Chem.</i> 274(23): 15978-15981.
✓	C25 ✓	Marsters et al. (2000), "Interaction of the TNF homologues BlyS and APRIL with the TNF receptor homologues BCMA and TACI," <i>Current Biology</i> 10(13):785-788.

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	Applicant Feige et al	
	Filing Date August 13, 2003	Group 1635

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
<i>thw</i>	AA	5,223,409	06/29/93	Ladner et al.	✓	—	
	AB	5,338,665	08/16/94	Schatz et al.			
	AC	5,480,981	01/02/96	Goodwin et al.			
	AD	5,498,530	03/12/96	Schatz et al.			
	AE	5,608,035	03/04/97	Yanofsky et al.			
	AF	5,733,731	03/31/98	Schatz et al.			
	AG	5,739,277	04/14/98	Presta et al.			
	AH	5,767,234	06/16/98	Yanofsky et al.			
	AI	5,773,569	06/30/98	Wrighton et al.			
	AJ	5,786,331	07/28/98	Barrett et al.			
	AK	5,869,451	02/09/99	Dower et al.			
	AL	5,869,452	02/09/99	Ng et al.			
	AM	5,877,151	03/03/99	Pereira Heloise Anne			
	AN	5,880,096	03/09/99	Barrett et al.			
	AO	5,922,545	07/13/99	Mattheakis et al.			
	AP	5,932,546	08/03/99	Barrett et al.			
	AQ	5,432,018	07/11/99	Dower et al.			
<i>Y</i>	AR	5,945,5075-422,048	08/31/99	Mintner et al. <i>Hartelaro</i>		↓	

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	Applicant Feige et al	
	Filing Date August 13, 2003	Group 1634

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	CITATION	IN ACC	CIT. CLASS	TO AMEND	ATTN
							YES	NO
<i>tdw</i>	BA	WO 94/07921	04/14/94	PCT <i>World</i>	—	—		
<i>R</i>	BB	WO 95/09917	04/13/95	PCT				
	BC	WO 95/14714	06/01/95	PCT				
	BD	WO 96/05309	02/22/96	PCT				
	BE	WO 96/11214	04/18/96	PCT				
	BF	WO 96/11953	04/25/96	PCT				
	BB	WO 96/17942	06/13/96	PCT				
	BG	WO 96/18412	06/20/96	PCT				
	BH	WO 96/30057	10/03/96	PCT				
	BI	WO 96/40772	12/19/96	PCT				
	BJ	WO 96/40987	12/19/96	PCT				
	BK	WO 97/00270	01/03/97	PCT				
	BN	WO 97/08203	03/06/97	PCT				
	BO	WO 97/08553	03/06/97	PCT				
	BP	WO 97/23614	07/03/97	PCT				
<i>2</i>	BQ	WO 96/23899	08/08/96	PCT				
<i>7</i>	BR	WO 97/28828	08/14/97	PCT				
<i>1</i>	BS	WO 97/31019	08/28/97	PCT				
	BT	WO 97/34631	09/25/97	PCT				
	BU	WO 97/35969	10/02/97	PCT				
	BV	WO 97/40070	10/30/97	PCT				
<i>?</i>	BW	WO 97/41220	04/29/97	PCT				
<i>?</i>	BX	WO 97/44453	11/27/97	PCT				
	BY	WO 98/09985	03/12/98	PCT				
<i>✓</i>	BZ	WO 98/10795	03/19/98	PCT	<i>✓</i>	<i>✓</i>	<i>✓</i>	<i>✓</i>

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FOREIGN PATENT DOCUMENTS

		INVENTION NUMBER	DATE	INVENTOR	IN ACC	CLASS	TO AMEND	ATTN
							YES	NO
<u>tbl</u>	B1	WO 98/15833	04/16/98	PCT <u>Wood</u>	-	-		
	B2	WO 98/24477	06/11/98	PCT				
	B3	WO 98/28427	07/02/98	PCT				
	B4	WO 98/31820	07/23/98	PCT				
	B5	WO 98/33812	08/06/98	PCT				
	B6	WO 98/46257	10/22/98	PCT				
	B7	WO 98/53842	12/03/98	PCT				
	B8	WO 98/55620	12/10/98	PCT				
	B9	WO 99/05302	02/04/99	PCT				
	B10	WO 99/14244	03/25/99	PCT				
	B11	WO 99/17789	04/15/99	PCT				
	B12	WO 99/18243	04/15/99	PCT				
	B13	WO 99/18781	04/22/99	PCT				
	B14	WO 99/24462	05/20/99	PCT				
	B15	WO 00/24782	05/04/00	PCT				
	B16	WO 99/42592	08/26/99	PCT				
	B17	WO 99/47151	09/23/99	PCT				
	B18	WO 99/50282	10/07/99	PCT				
	B19	WO 99/51254	10/14/99	PCT				
	B20	WO 99/60013	11/25/99	PCT				
	B21	WO 99/61476	12/02/99	PCT				
	B22	WO 99/62539	1/20/99	PCT				
	B23	WO 00/00632	01/06/00	PCT				
	B24	WO 00/01402	01/13/00	PCT				
✓	B25	WO 00/04048	01/27/00	PCT ✓	✓	✓		

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

CA	Adey et al. (1996), 'Identification of calmodulin-binding peptide consensus sequences from a phage-displayed random peptide library', <i>Gene</i> 169:133-134.
CB	Adey et al. (1997), 'Isolation of peptides from phage-displayed random peptide libraries that interact with the talin-binding domain of vinculin', <i>Biochem. J.</i> 324:523-528.
CC	Ahern et al. (1990), 'Special Report: The Peptide-Oligonucleotide Partnership', <i>The Scientist</i> 4 (19):24-25.
CD	Akeson et al. (1996), 'AF12198, a Novel Low Molecular Weight Antagonist, Selectively Binds the Human Type I Interleukin (IL)-1 Receptor and Blocks in vivo Responses to IL-1', <i>J. Biol. Chem.</i> 271:30517-30523.
CE	Ball et al. (1997), 'Cell-cycle arrest and inhibition of Cdk4 activity by small peptides based on the carboxy-terminal domain of p21 ^{WAF1} ', <i>Current Biology</i> 7:71-80.
CF	Barna et al. (1994), "Combination therapy with a synthetic peptide of C-reactive protein and interleukin 2: augmented survival and eradication of pulmonary metastases," <i>Cancer Immunol. Immunother.</i> 38:38-42.
CG	Bhatnagar et al. (1996), 'Structure-Activity Relationships of Novel Hematopoietic Peptides', <i>J. Med. Chem.</i> 39:3814-3819.
CH	Böttger et al. (1997), 'Molecular Characterization of the hdm2-p53 Interaction', <i>J. Mol. Biol.</i> 269:744-756.
CI	Böttger et al. (1996), 'Identification of novel mdm2 binding peptides by phage display', <i>Oncogene</i> 13:2141-2147.
CJ	Brocks et al. (1997), "A TNF Receptor Antagonistic scFv, Which is Not Secreted in Mammalian Cells, is Expressed as a Soluble Mono- and Bivalent scFv Derivative in Insect Cells," <i>Immunotechnology</i> 3(3): 173-184.
CK	Burstein et al. (1988), 'Thymic Humoral Factor γ2: Purification and Amino Acid Sequence of an Immunoregulatory Peptide from Calf Thymus', <i>Biochemistry</i> 27:4066-4071.
CL	Capon et al. (1989), 'Designing CD4 Immunoconjugates for AIDS Therapy', <i>Nature</i> 337:525-531.
CM	Chan and Kim (1998), "HIV Entry and Its Inhibition" <i>Cell</i> 93:681-684.
CN	Chirinos-Rojas et al. (1998), 'A Peptidomimetic Antagonist of TNF-α-Mediated Cytotoxicity Identified from a Phage-Displayed Random Peptide Library', <i>Journal of Immunology</i> 161:5621-5626.
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CP	Cortese et al. (1996), 'Selection of biologically active peptides by phage display of random peptide libraries', <i>Current Opinion in Biotechnology</i> 7:616-621.
CQ	Couet et al. (1997), 'Identification of Peptide and Protein Ligands for the Caveolin-scaffolding Domain', <i>The Journal of Biological Chemistry</i> 272 (10):6525-6533.
CR	Couet et al. (1997), 'Interaction of a Receptor Tyrosine Kinase, EGF-R, with Caveolins', <i>The Journal of Biological Chemistry</i> Vol 272 (48):30429-30438.
CS	Cuthbertson et al. (1997), 'Design of Low Molecular Weight Hematopoietic Agents from the Structure-Activity Relationship of a Dimeric Pentapeptide', <i>J. Med. Chem.</i> 40:2876-2882.
CT	Cwirla et al. (1997), 'Peptide Agonist of the Thrombopoietin Receptor', <i>Science</i> 276:1696-1699.
CU	Dedman et al. (1993), 'Selection of Targeted Biological Modifiers from a Bacteriophage Library of Random Peptides', <i>The Journal of Biological Chemistry</i> 268 (31):23025-23030.
CV	Devlin et al. (1990), 'Random Peptide Libraries: A Source of Specific Protein Binding Molecules', <i>Science</i> 249:404-406.
CW	Duncan et al. (1988), 'Localization of the binding site for the human high-affinity Fc receptor on IgG', <i>Nature</i> 332:563-564.

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

CX	Dyson et al. (1995), 'Selection of peptide inhibitors of interactions involved in complex protein assemblies: Association of the core and surface antigens of hepatitis B virus', <i>Proc. Natl. Acad. Sci. USA</i> 92:2194-2198.
CY	Fahraeus et al. (1996), 'Inhibition of pRb phosphorylation and cell-cycle progression by a 20-residue peptide derived from p16 ^{CDKN2} /INK4A', <i>Current Biology</i> 6:84-91.
CZ	Fairbrother et al. (1998), 'Novel Peptides Selected to Bind Vascular Endothelial Growth Factor Target the Receptor-Binding Site', <i>Biochemistry</i> 37:17754-17764.
C1	Fisher et al. (1996), 'Treatment of septic shock with the tumor necrosis factor receptor: Fc fusion protein', <i>N. Eng. J. Med.</i> 334(26):1697-1702.
C2	Francis, Gillian E. (1992), 'Protein modification and fusion proteins', <i>Focus on Growth</i> 3:4-11.
C3	Fukamoto et al. (1998), 'Peptide mimics of the CTLA4-binding domain stimulate T-cell proliferation', <i>Nature Biotechnology</i> , 16:267-270.
C4	Gan et al. (1988), 'Echistatin', <i>JBC</i> 263:19827-19832.
C5	Ghetie et al. (1997), 'Increasing the serum persistence of an IgG fragment by random mutagenesis', <i>Nature Biotechnology</i> 15:637-640.
C6	Gibbs et al. (1994), 'Pharmaceutical Research in Molecular Oncology', <i>Cell</i> 79:193-198.
C7	Gibbs et al. (1994), 'Farnesyltransferase Inhibitors: Ras Research Yields a Potential Cancer Therapeutic', <i>Cell</i> 77:175-178.
C8	Goodson et al. (1994), 'High-affinity urokinase receptor antagonists identified with bacteriophage peptide display', <i>Proc. Natl. Acad. Sci. USA</i> 91:7129-7133.
C9	Graf and Kastin (1986), 'Delta-Sleep-Inducing Peptide (DSIP): An Update' <i>Peptides</i> 7:1165-1187.
C10	Harvill et al. (1995), 'An IgG3-IL2 fusion protein activates complement, binds FcγRI, generates LAK activity and shows enhanced binding to the high affinity IL-2R', <i>Immunotech.</i> 1:95-105.
C11	Harwig et al. (1994), 'Neutrophil Defensins: Purification, Characterization, and Antimicrobial Testing' <i>Methods Enzymology</i> 236:160-172.
C12	Herz et al. (1997), 'Molecular Approaches to Receptors as Targets for Drug Discovery', <i>J. of Receptor & Signal Transduction Research</i> 17(5):671-776.
C13	Hong et al. (1995), 'Protein ligands of the human adenovirus type 2 outer capsid identified by biopanning of a phage-displayed peptide library on separate domains of wild-type and mutant penton capsomers', <i>The EMBO Journal</i> 14:4714-4727.
C14	Hughes, David (1998), 'Therapeutic antibodies make a comeback', <i>Drug Discovery Today</i> 3(10):439-442.
C15	Inagaki-Ohara et al. (1996), 'Effects of a Nonapeptide Thymic Hormone on Intestinal Intraepithelial Lymphocytes in Mice Following Administration of 5-Fluorouracil', <i>Cellular Immunology</i> 17:30-40.
C16	Inglot, Anna D. (1997), 'Classification of Cytokines According to the Receptor Code', <i>Archivum Immunologies et Therapine Experimentalis</i> 45:353-357.
C17	Jefferies, D. (1998), 'Selection of Novel Ligands from Phage Display Libraries: An Alternative Approach to Drug and Vaccine Discovery?', <i>Parasitology Today</i> 14(5):202-206.
C18	Jefferis et al. (1995), 'Recognition sites on human IgG for Fcγ receptors: the role of glycosylation', <i>Immunology Letters</i> 44:111-117.
C19	Jefferis et al. (1990), 'Molecular Definition of Interaction Sites on Human IgG for Fc Receptors (huFcγ R)', <i>Molecular Immunology</i> 27(12):1237-1240.

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

C20	Johnson et al. (1998), "Identification of a 13 Amino Acid Peptide Mimetic of Erythropoietin and Description of Amino Acids Critical for the Mimetic Activity of EMP1," <i>Biochemistry</i> 37(11): 3699-3710.
C21	Jones et al. (1998), 'Stromal Expression of Jagged 1 Promotes Colony Formation by Fetal Hematopoietic Progenitor Cells', <i>Blood</i> 92(5):1505-1511.
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